

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
21 November 2002 (21.11.2002)

PCT

(10) International Publication Number  
**WO 02/091931 A1**

(51) International Patent Classification<sup>7</sup>: **A61B 17/70**

(74) Agents: **SHUNK, Laura, F. et al.**; Hudak & Shunk Co., L.P.A., Suite 808, 7 West Bowery Street, Akron, OH 44308 (US).

(21) International Application Number: **PCT/US02/06772**

(81) Designated State (national): **AU**.

(22) International Filing Date: **6 March 2002 (06.03.2002)**

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(25) Filing Language: **English**

**Published:**

— *with international search report*

(26) Publication Language: **English**

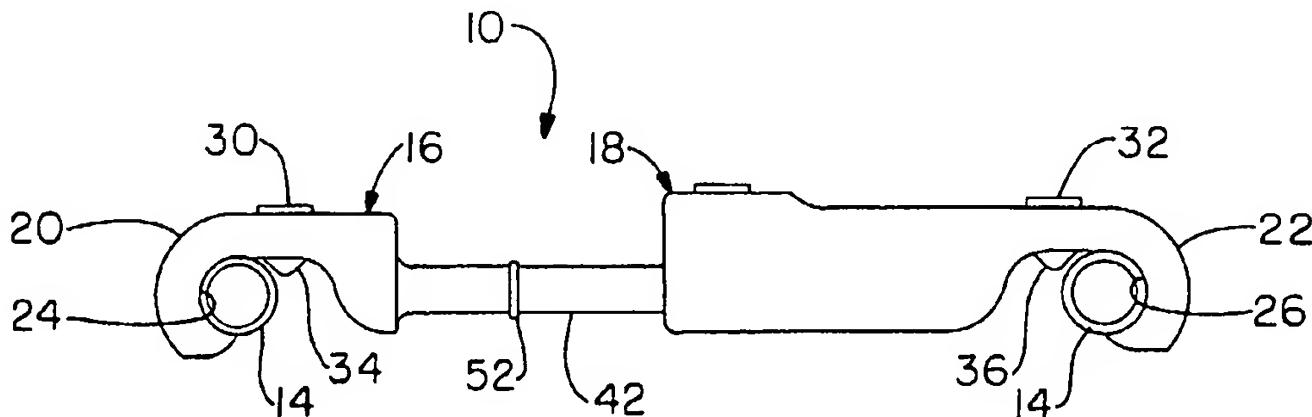
For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(30) Priority Data:  
09/855,307 15 May 2001 (15.05.2001) US

(71) Applicant: **INTERPORE CROSS INTERNATIONAL [US/US]**; 181 Technology Drive, Irvine, CA 92618 (US).

(72) Inventors: **ALTARAC, Moti**; 89 Velissimo Drive, Aliso Viejo, CA 92656 (US). **MELLINGER, Philip, A.**; 85 Dawnwood, Ladera Ranch, CA 92694 (US).

(54) Title: **ADJUSTABLE TRANSVERSE CONNECTOR FOR USE WITH A SPINAL IMPLANT SYSTEM**



**WO 02/091931 A1**

(57) Abstract: The present invention relates to a transverse connector (10) having an assembly, which joins two spinal rods (14) to form a spinal implant construct. The transverse connector has two members (16, 18) each having terminal clamping members (20, 22) such as opposing rod recesses (24, 26) each having a biasing setscrew (30, 32), which locks the rod into position in the recess. The first of the two members has a bore (40), which receives the cylindrical extension of the other part so that it can move inward and outward and rotate in the bore. Further, the connector has a limiting mechanism, which comprises a vertical keyway (46) which receives and captures the flanged end of the extension in a slot having opposed undercut areas so that the flanged end can be inserted into the keyway and slid downward toward the bore. The extension further has a second flanged area (52) in order to avoid over-insertion of the second member into the first member.

## ADJUSTABLE TRANSVERSE CONNECTOR FOR USE WITH A SPINAL IMPLANT SYSTEM

5

THIS PATENT APPLICATION IS BASED UPON U.S. PROVISIONAL APPLICATION SERIAL NO. 60/210,806 FILED 06/09/00

### FIELD OF THE INVENTION

The invention relates generally to an implant system for the stabilization of the spine, and more particularly to a transverse connector, 10 which is conveniently adjustable for connection of two lateral stabilizer members, i.e. spinal rods, which span the length of multiple vertebrae.

### BACKGROUND OF THE INVENTION

The field of orthopedics has grown in its development of spinal stabilization systems, which can be surgically implanted in order to remedy a 15 number of medical indications, such as for example, spinal curvatures and fixation for stability in fusion procedures. Implant design must include considerations of the obfuscation within the biological environment, and the stress of in-situ surgical assembly. Spinal surgeons work with a very limited space with great concern as to minimizing the amount of time that the 20 procedure takes, and avoiding undue disruption to the surrounding tissues. While the components of the system are small, it is important that they are as easy to assemble as possible and that they are not cumbersome.

Typically, spinal stabilization systems comprise an elongated stabilizer which can be a cable or plate member, but most often comprises a 25 rod. The rod is held in position, usually on the posterior side of at least two vertebrae alongside the spinous process, by anchoring members which have a component that secures or clamps the rod into a fixed position

longitudinally, and a component that secures the anchor in place relative to the vertebral body, i.e. a hook or screw. Of course, the anchor can be an integral unit with the screw or hook securing member. Thus with respect to the present invention, a first assembly includes a stabilizer rod or plate, and 5 multiple anchoring members. Typically a spinal construct will include two such assemblies, one on each lateral side of the spinous processes. In order to impart further stability to the construct, it typically also includes laterally extending members which connect the two stabilizers. These connecting members are often termed "transverse connectors".

10 The present invention relates to a transverse connector design, which has an adjustable length to its longitudinal linking portion. This linking area constitutes a linking assembly which joins the clamping or locking members which clamp or lock the transverse connector to the stabilizers. The present invention could include various clamping or locking means such as a rod or 15 plate receiving recess and biasing member, which is preferably a setscrew, or vise arms or other means which form an interlocking engagement between the clamping or locking member, and rod or plate stabilizer.

20 The linking assembly includes two members. One is a first receiving member which has a recess to receive an extension of the second member. This structure forms a telescoping relationship between the first and the second parts of the transverse connector. A setscrew or the like can be used to lock the parts into a desired relative position when it has been achieved. The first or receiving member also has a sliding keyway which

enables the parts to be disengaged or engaged but which further provides a means for limiting the amount of extension between the parts so as to inhibit the disengagement of the linking assembly through over-extension. Further the assembly allows for adjustment so that the relative positions of the two parts can be adjusted both with respect to the distance between them, (i.e., the relative longitudinal length) as well as with regard to the relative angle about the longitudinal axis of the two end clamping portions. Thus, the engagement is maintained but the clamping members can be adjusted in and out and rotated to suit the relative position of the rods. Further, the assembly can be disengaged in order to permit assembly with a second linking member having a variety of lengths in the extension.

The object of the invention is to provide a transverse connector which can provide for an adjustable length between two clamping members. The transverse connector has a two part telescoping linking portion including a vertical slot, or keyway, which allows the parts to be easily engaged prior to or during surgery but which limits the members from being inadvertently disassembled during implantation. Thus, in the event that a greater length needs to be spanned, linking part with a longer connector can be selected on the spot. Also, the relative angles of the clamp can be adjusted to account for deviations in the rods (such as might occur if the rods are bent as part of the procedure.)

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is an end view of the transverse connector of the present invention;

5 Figures 2a-2e are side perspective views of the transverse connector showing the assembly and contraction of the parts of the transverse connector;

Figures 3a-3e are side cross-sectional views of the views shown in Figure 2a-2e; and

10 Figures 4a-4d are detailed drawings of the keyway shown in Figure 3a-3e.

**DETAILED DESCRIPTION OF THE DRAWINGS**

Figure 1 illustrates a transverse connector 10 in accordance with the present invention. The connector 10 joins two elongated spinal stabilizers which are illustrated as rods 14, (but which could also comprise plates), which are fixed to a number of vertebrae by means of anchoring members which are not shown. The transverse connector has a linking assembly having a first 16 and a second part 18 which each have a end portion terminating in a clamp 20, 22. A variety of clamping structures could be used. They can be the same or different. For example, the clamp on one side could be closed while the clamp on the other side could be open. However, preferably, the clamps each include a recess 24, 26 which receive a respective rod. Setscrews 30, 32, which are received in threaded bores 31, 33, each terminate in a bevel area 34, 36 which biases the rod into a

retaining contact with the recess. The longitudinal axis of the setscrew is offset from the longitudinal axis of the rod receiving recess so that the setscrew will bias the rod into the recess. The setscrews may include internal hexes, or other suitable torque driving means, for tightening. When 5 they are assembled the recesses 20,26 are both open toward a central medial line or toward each other. This arrangement can be used for initial placement of the connector on the rods. Thus, the connector assembly can be placed onto the rods and then tightened with respect to the length.

10 The second member 18 of the transverse connector includes a bore 40, which receives a extension portion 42 which extends outwardly from the clamp 20 of the first part 16. The extension can move in and out of the bore to contract or expand the length of the space connected and the extension 42 can rotate in the bore 40 to change the relative angle of the openings of the rod recesses of the clamps to accommodate a varying relative angle of 15 the longitudinal axis of the rods 14.

Further, the first and second members, 16, 18, include a limit mechanism which comprises a flanged end 44 on the extension 42. This flanged end 44 is inserted into a vertical keyway 46 which is a vertical slot in the first member having an enlarged opening 48 that is slightly larger than 20 the diameter of the annular flange, and which will accommodate entry of the larger diameter flanged end 44. An undercut 50 is provided on either side of the keyway so as to allow for captured movement of the flanged end in the keyway, but allow for restriction against movement out of the keyway. A

-6-

5

vertical slotted area is slightly wider than the diameter of the extension. Thus, the keyway restrains the flanged end in the vertical keyway 46 as it is slid downward toward the bore 40. When the extension comes to the end of the keyway 46, it can be moved inward in the bore 40. The first member can be telescoped into the second member until it encounters the second flanged area 52 as is shown in Figure 4d. This limits the distance that the extension can travel into the bore, and inhibits lock-up. A setscrew is received in a bore 54 to lock the relative position of the first and second member.

10

**CLAIMS**

What is claimed is:

1. A transverse connector which is able to join two stabilizer members of a spinal implant system, said transverse connector comprising an assembly having a first member having a first stabilizer clamp at one end and an extension, and a second member having a second stabilizer clamp at one end and a bore which receives the extension in a telescoping relationship along the direction of the longitudinal axis of the bore, and a vertical keyway which receives the extension in a captured relationship and directs it into the bore and which acts so as to inhibit over extension of the assembly along the direction of the longitudinal axis of the bore .  
10
2. A transverse connector as set forth in claim 1 wherein the extension has a terminal annular flange and the vertical keyway has an enlarged opening joined with a slot defining an open passageway which has opposing undercut openings that can accommodate the terminal annular flange of the extension in a sliding relationship.  
15
3. A transverse connector as set forth in claim 1 wherein the extension has an intermediate flange which has a diameter that is larger than the width of the slot.  
20
4. A transverse connector as set forth in claim 2 wherein the keyway is a slot that is substantially transverse to the direction of the longitudinal axis of the bore and which terminates at the opening of the bore.

5. A transverse connector as set forth in claim 1 wherein the stabilizers are rods and at least one of said first and said second clamp comprise a recess which is laterally open to receive a rod and a biasing member which compresses the rod into a contact with the recess.

6. A transverse connector as set forth in claim 5 wherein both of said clamps comprise a recess which is laterally open to receive a rod and a biasing member which compresses the rod into a contact with the recess.

7. A transverse connector as set forth in claim 6 wherein said biasing member comprises a setscrew.

10 8. A transverse connector as set forth in claim 7 wherein said setscrew includes a bevel and has a longitudinal axis which is offset from the longitudinal axis of the recess, whereby the bevel biases the rod into contact with the recess.

15 9. A transverse connector which is able to join two rods members of a spinal implant system, said transverse connector comprising an assembly having a first member having a first rod clamp at one end and a cylindrical extension having a first outer diameter and an annular flanged area having a second outer diameter, and a second member having a second rod clamp at one end and cylindrical bore having an inner diameter and which receives the extension in a telescoping relationship along the direction of the longitudinal axis of the bore, and the inner diameter of the bore is larger than the second outer diameter of the extension and the bore is joined to a slot having an opening having a width which is greater than the second outer

diameter of the extension, and having a restricted open passage having a width which is smaller than second outer diameter of the extension, but which is larger than the first outer diameter of the extension, and the open passage being joined by opposing parallel undercut areas to define a keyway larger than the second diameter of the extension so as to permit a captured sliding of the second member in the keyway.

5 10. A transverse connector as set forth in claim 9 wherein the keyway slot substantially transverse to the direction of the longitudinal axis of the bore and which terminates at the opening of the bore.

10 11. A transverse connector as set forth in claim 10 wherein said first and said second clamp comprise a recess which is laterally open to receive a rod and a biasing member which compresses the rod into a contact with the recess.

15 12. A transverse connector as set forth in claim 11 wherein said biasing member comprises a setscrew.

13. A transverse connector as set forth in claim 12 wherein said setscrew includes a bevel and has a longitudinal axis which is offset from the longitudinal axis of the recess, whereby the bevel biases the rod into contact with the recess.

20 14. A transverse connector as set forth in claim 11 wherein the recess of the first clamp and the recess of the second clamp are open toward each other.

15. A transverse connector which is able to join two rods members of a spinal implant system, said transverse connector comprising an assembly having a first member having a first rod clamp at one end and a cylindrical extension having a first outer diameter and an annular flanged area having a second outer diameter, and a second member having a second rod clamp at one end, the first rod clamp and the second rod clamp each comprising a recess which is laterally open to receive a rod and a biasing member which compresses the rod into a contact with the recess, and said second member further having a cylindrical bore having an inner diameter and which receives the extension in a telescoping relationship along the direction of the longitudinal axis of the bore, and the inner diameter of the bore is larger than the second outer diameter of the extension and the bore is joined to a slot having an opening having a width which is greater than the second outer diameter of the extension, and having a restricted open passage having a width which is smaller than second outer diameter of the extension, but which is larger than the first outer diameter of the extension, and the open passage being joined by opposing parallel undercut areas to define a keyway larger than the second diameter of the extension so as to permit a captured sliding of the second member in the keyway.

20 16. A transverse connector as set forth in claim 15 wherein said biasing member comprises a setscrew.

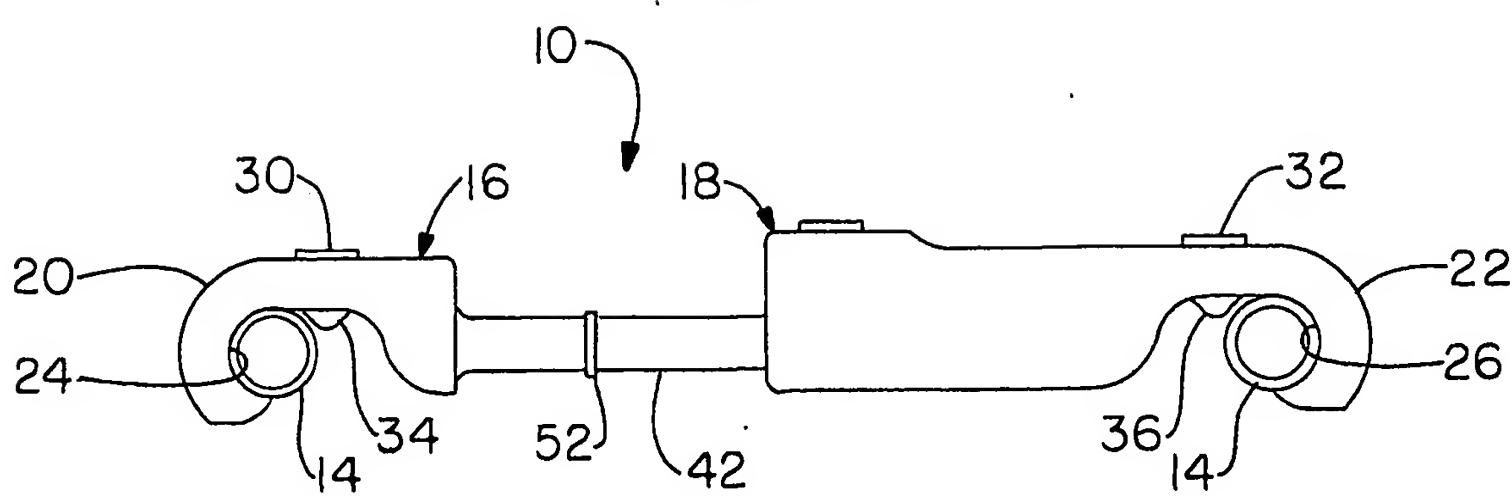
17. A transverse connector as set forth in claim 16 wherein said setscrew includes a bevel and has a longitudinal axis which is offset from the

-11-

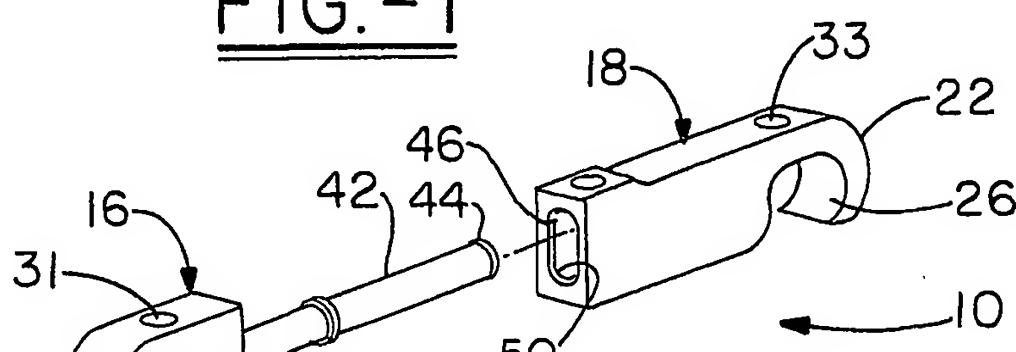
longitudinal axis of the recess, whereby the bevel biases the rod into contact with the recess.

18. A transverse connector as set forth in claim 17 wherein the recess of the first clamp and the recess of the second clamp are open 5 toward each other.

1/3.



**FIG. - I**



## FIG.-2A

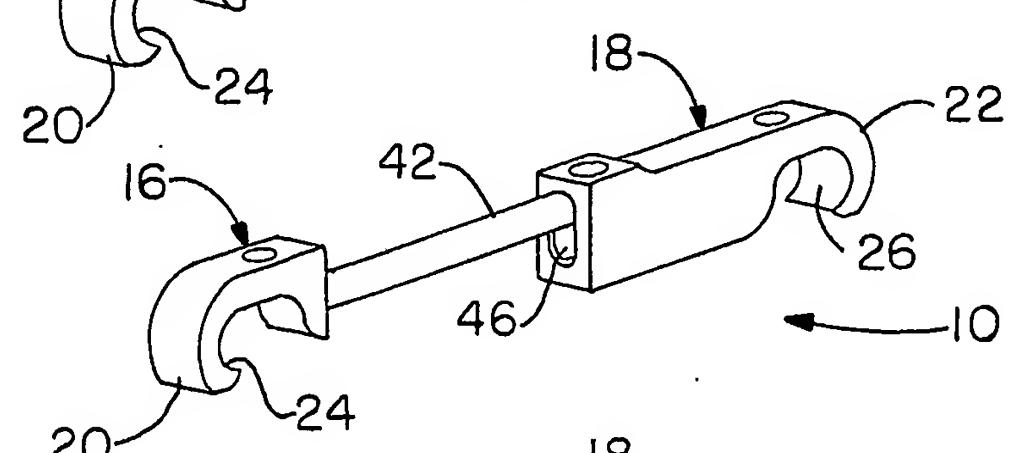


FIG.-2B

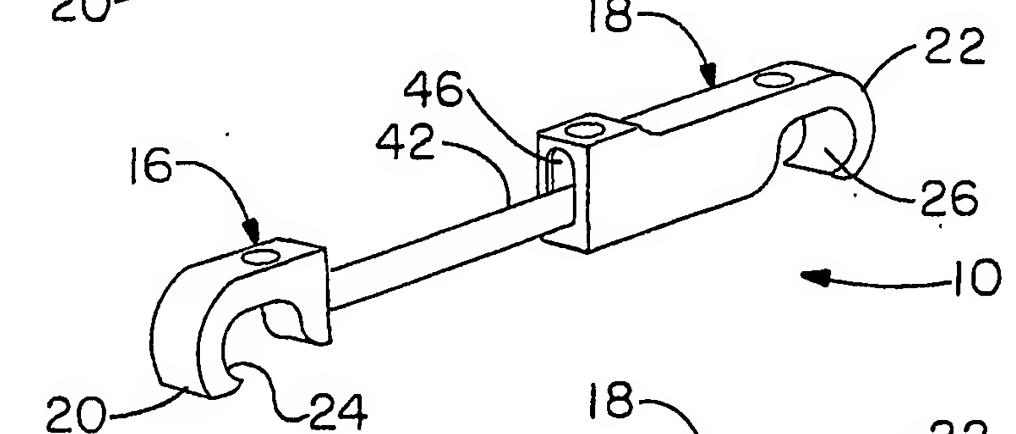


FIG.-2C

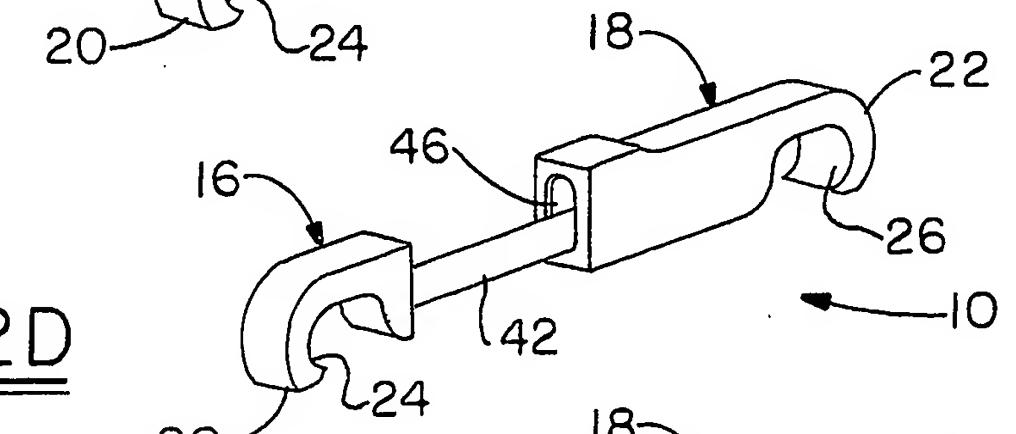


FIG.-2D

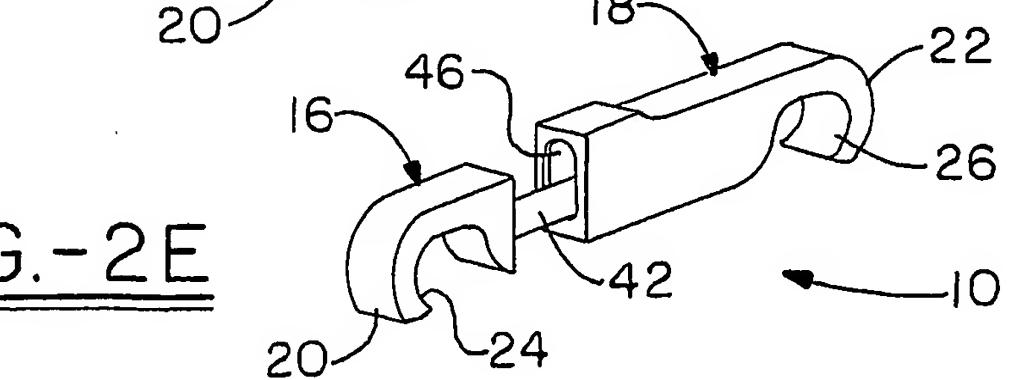


FIG.-2E

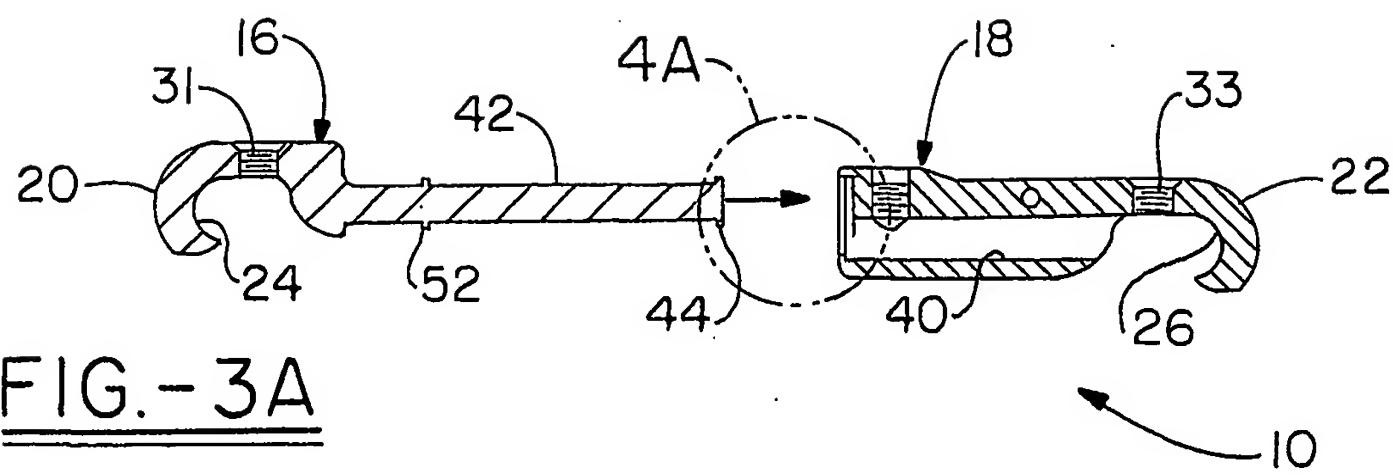


FIG. - 3A

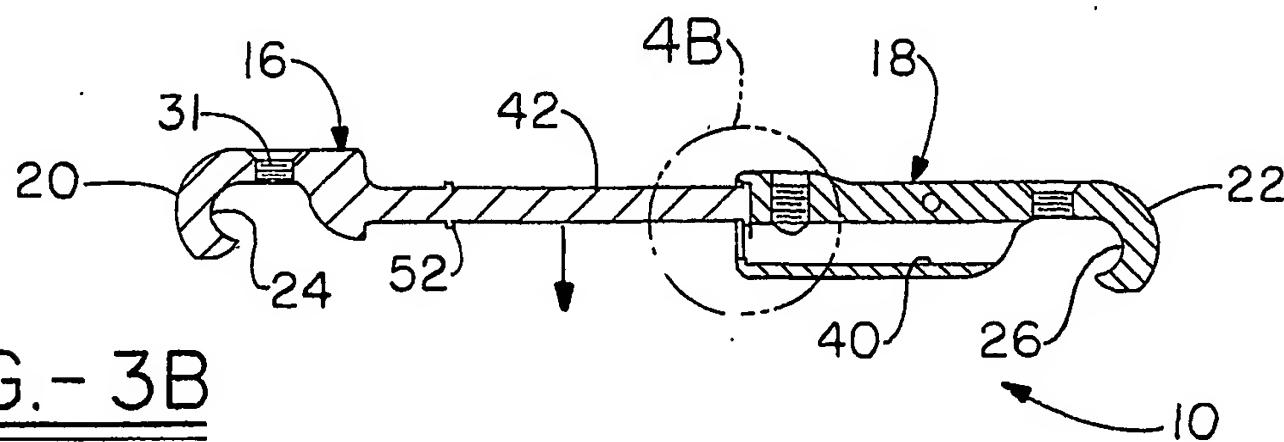


FIG. - 3B

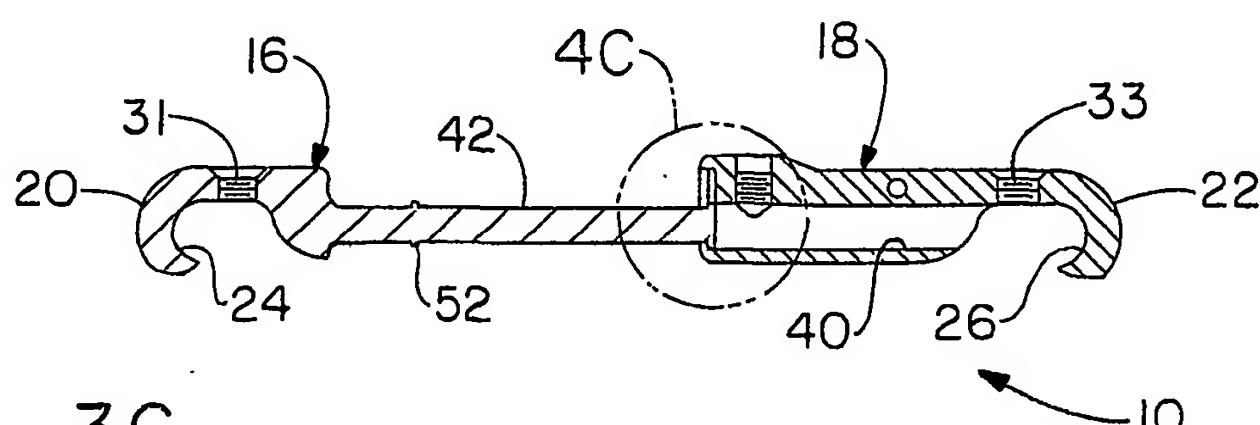


FIG. - 3C

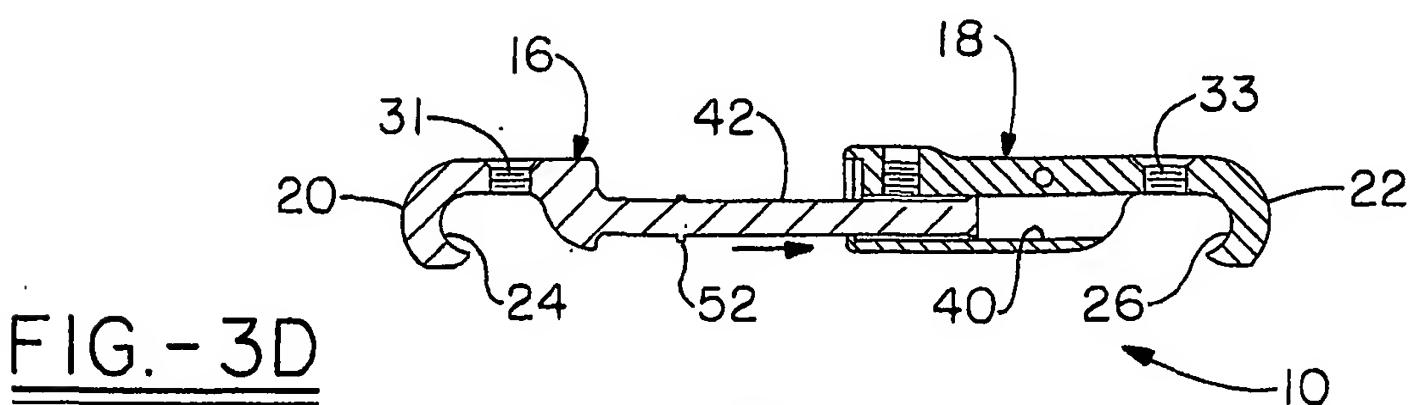


FIG. - 3D

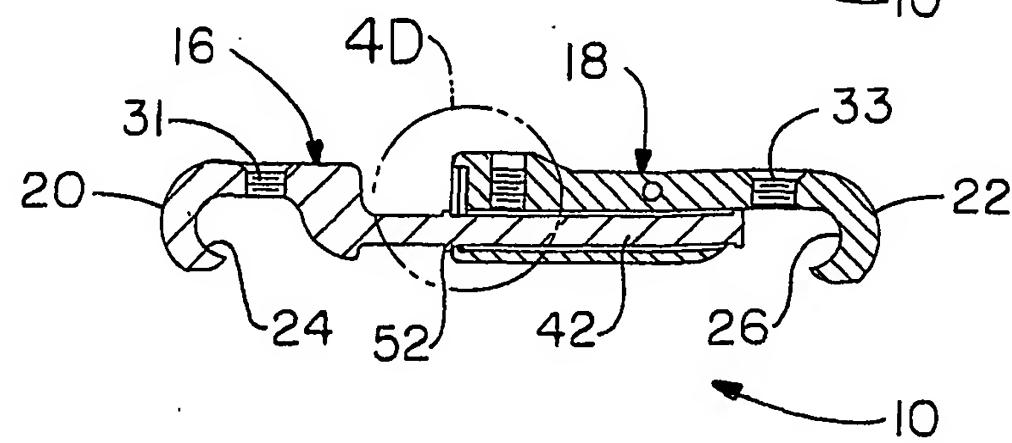
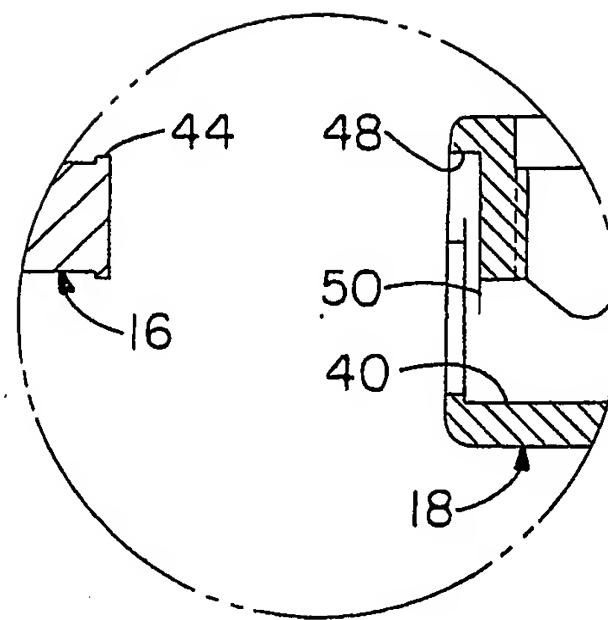
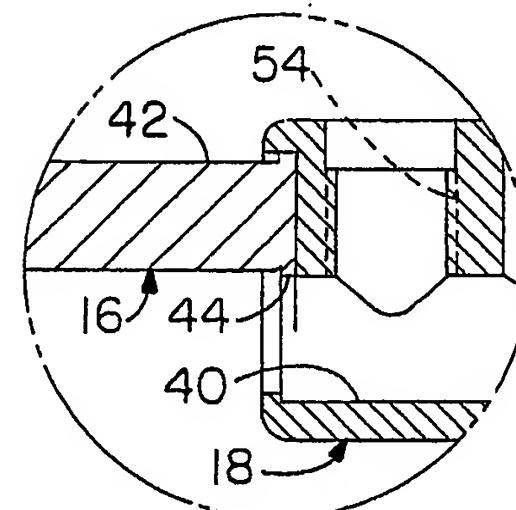
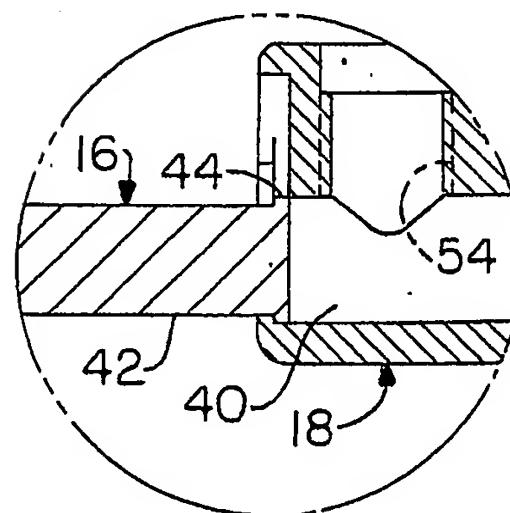
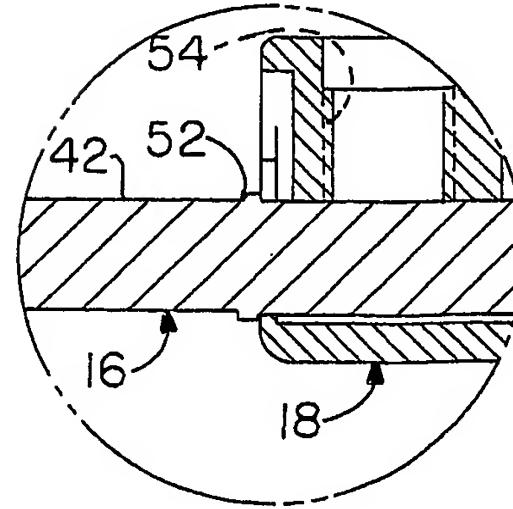


FIG. - 3E

FIG.-4AFIG.-4BFIG.-4CFIG.-4D

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 A61B17/70

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 136 003 A (BRUMFIELD DAVID L ET AL) 24 October 2000 (2000-10-24) column 7, line 4 - line 54; figures 4,5,19-22 ---	1,3,5-8
Y	WO 00 57801 A (SURGICAL DYNAMICS INC) 5 October 2000 (2000-10-05) page 9, line 1 -page 10, line 13; figure 3 ---	2,4,9, 15-18
Y	WO 01 12087 A (OSTEOTECH INC) 22 February 2001 (2001-02-22) page 5, line 33 -page 6, line 3; figure 4 ---	2,4,9, 15-18
A	EP 0 594 236 A (BRISTOL MYERS CO) 27 April 1994 (1994-04-27) column 3, line 11 -column 4, line 39; figures 2-7 -----	10

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- A• document defining the general state of the art which is not considered to be of particular relevance
- E• earlier document but published on or after the International filing date
- L• document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- O• document referring to an oral disclosure, use, exhibition or other means
- P• document published prior to the International filing date but later than the priority date claimed

- T• later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- X• document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- Y• document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- &• document member of the same patent family

Date of the actual completion of the international search

23 July 2002

Date of mailing of the international search report

05/08/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Georgiou, Z

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 6136003	A	24-10-2000	US	5947966 A	07-09-1999
			US	6402751 B1	11-06-2002
			AU	5935196 A	24-12-1996
			EP	0874594 A1	04-11-1998
			JP	2000501624 T	15-02-2000
			WO	9639090 A1	12-12-1996
			US	6113600 A	05-09-2000
-----					
WO 0057801	A	05-10-2000	AU	4042600 A	16-10-2000
			EP	1164954 A1	02-01-2002
			WO	0057801 A1	05-10-2000
			US	2002052603 A1	02-05-2002
-----					
WO 0112087	A	22-02-2001	AU	6633900 A	13-03-2001
			WO	0112087 A1	22-02-2001
			US	6413258 B1	02-07-2002
-----					
EP 0594236	A	27-04-1994	US	5275600 A	04-01-1994
			AU	665673 B2	11-01-1996
			AU	4866893 A	21-04-1994
			CA	2106798 A1	06-04-1994
			DE	69319536 D1	13-08-1998
			DE	69319536 T2	28-01-1999
			EP	0594236 A1	27-04-1994
-----					